

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
January 2018**

**David Elliott Faulk**

**Johanson Financial Advisors, Inc.  
2105 S. Bascom Ave. Suite 255,  
Campbell, CA 95008  
[www.JohansonFinancial.com](http://www.JohansonFinancial.com)**

**Firm Contact:  
Lynda Tu  
Chief Compliance Officer**

**This brochure supplement provides information about David Faulk that supplements our brochure. You should have received a copy of that brochure. Please contact Lynda Tu, Chief Compliance Officer if you did not receive Johanson Financial Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about David Faulk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 5021007.**

## Item 2: Educational Background & Business Experience

**David Elliott Faulk**

**Year of Birth:** 1968

### **Educational Background:**

- 2004; Brigham Young University; Bachelor of Science in Accounting
- 2004; Brigham Young University; Masters in Accountancy with an emphasis in Taxation

### **Business Background:**

- 01/2016 – Present Montage Tax, P.C; Tax Preparer
- 01/2015 – Present Johanson Financial Advisors, Inc.; Investment Adviser Representative
- 01/2003 – Present Adams, Harmon & Associates; Owner
- 04/2008 – Present Portola Tax, A Professional Corporation; Owner
- 12/2004 – 01/2015 The Portola Group, Inc.; Investment Adviser Representative & CFO
- 06/2002 – 11/2004 PricewaterhouseCoopers; Tax Manager
- 01/1995 – 06/2002 Arthur Andersen; Tax Manager

### **Exams, Licenses & Other Professional Designations:**

- 2005: Series 65 Exam
- 2000: Certified Public Accountant

CERTIFIED PUBLIC ACCOUNTANT, "CPA" is licensed and regulated by state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, all American Institute of Certified Public Accountants (AICPA) members<sup>1</sup> are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Faulk.

## Item 4: Other Business Activities

Mr. Faulk is also a Certified Public Accountant and spends 40% of his time on this activity. Tax services provided by Mr. Faulk are separate and distinct from our firm's advisory services, and are provided for separate and typical compensation. There are no referral fee arrangements between our firm and Mr. Faulk for these recommendations. Our clients are not obligated to use Mr. Faulk for any tax services. Tax clients are not obligated to use our firm for any advisory services.

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<sup>5</sup> This description represents the requirements as of 1/1/2011. It is the responsibility of the adviser to disclose the qualifications in place when he or she attained the credential.

### **Item 5: Additional Compensation**

Mr. Faulk does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Lynda Tu, Chief Compliance Officer of Johanson Financial Advisors, Inc., supervises and monitors Mr. Faulk's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Lynda Tu if you have any questions about Mr. Faulk's brochure supplement at (408) 404-0009.